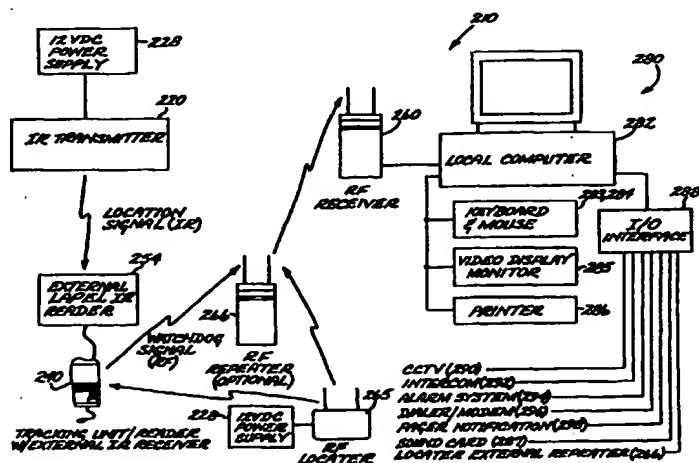




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G08B 1/08</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/35624</b> (43) International Publication Date: <b>15 July 1999 (15.07.99)</b>
(21) International Application Number: <b>PCT/US98/27296</b> (22) International Filing Date: <b>21 December 1998 (21.12.98)</b> (30) Priority Data: <b>09/005,564</b> <b>12 January 1998 (12.01.98)</b> <b>US</b> (71) Applicant: <b>ACTALL CORPORATION [US/US]; Suite 103, 301 East Arrow Highway, San Dimas, CA 91773 (US).</b> (72) Inventors: <b>TALLMAN, Erven; 72420 Beverly Way, Rancho Mirage, CA 92260 (US). MINARDI, Dan; 10884 West 85th Place, Arvada, CO 80005 (US). HILL, Jeff; 15566 E. Louisiana Avenue, Aurora, CO 80017 (US).</b> (74) Agent: <b>SCHOOLEY, Vern; Fulwider Patton Lee &amp; Utecht, LLP, Suite 1550, 200 Occangate, Long Beach, CA 90802 (US).</b>		(81) Designated States: <b>AU, CA, JP, MX, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</b>  <b>Published</b> <i>With international search report.</i>

## (54) Title: IMPROVED PERSONAL DURESS SECURITY SYSTEM



## (57) Abstract

A security system including tracking units or readers (240) carried or mounted on mobile units to operatively communicate status signals indicating identity, location, direction of travel, and a number of alarm conditions to a local monitoring station (20). The tracking units or readers (26) are equipped with an infrared receiver (242) to receive infrared location signals transmitted by fixed infrared transmitters located throughout the area to be monitored. Each infrared transmitter (220) transmits a unique location signal. The tracking unit is operative to transmit a radio frequency watchdog signal that includes the last two location signals received, respective time signals indicating the time lapsed since receipt of those location signals, a unique identification signal indicative of the particular tracking unit, and any applicable alarm signals triggered by predetermined conditions to which the tracking unit is also operative to detect. The monitoring station (20) includes a computer (24) which is operative to receive the watchdog signal by way of radio frequency receivers located throughout the area being monitored and translate the watchdog signal into useful displays including printed text on a printer, computer monitor graphic and text displays, and audible alarms.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## **IMPROVED PERSONAL DURESS SECURITY SYSTEM**

### **BACKGROUND OF THE INVENTION**

#### **FIELD OF THE INVENTION**

5       The present invention relates in general to the field of security devices, and, in particular, to a system which may be utilized to track the whereabouts and status of a plurality of tracking units carried by persons or items located about a substantial area of interest such as an office building, school campus, hospital, or prison facility, and to communicate data on the status of these persons or items to a central monitoring station.

#### **DESCRIPTION OF THE PRIOR ART**

10       There has long been a need for a security system to provide accurate, meaningful, real time monitoring of persons and objects throughout large areas such as automobile sales lots, office buildings, school campuses, hospitals, and prison facilities. In order for security and loss-prevention professionals to adequately protect the persons and property under their care and supervision, it is necessary for such a security system to indicate the current location of the  
15       monitored persons and objects, as well as to trigger an appropriate alert in response to any one of a number of alarm conditions depending on the need for further investigation and assistance.

      Various references in the prior art have attempted to address this need by proposing systems that rely on mechanical and/or electronic means to physically immobilize an object, such as an automobile, which it is desirable to secure. Other systems have proposed attaching a  
20       transmitter to a mobile unit to be monitored which broadcasts a unique identification signal in case of emergency conditions. Such signals may be transmitted to a central station via communication devices such as radio telephones.

Other systems have proposed monitoring systems that provide persons with a portable tracking unit which can be located by the system in an emergency. Several systems have been proposed that call for the tracking unit to be manually actuated to transmit a distress signal, including a unique identification code, to a local receiver which in turn relays the distress signal to a monitoring center where an alarm is triggered and appropriate action may be taken

The above described systems of the prior art, while providing various means for transmitting a distress signal to a central monitoring station, do not provide an adequate means to constantly track the location and motion of a mobile entity in the absence of a manually-actuated distress signal, nor do they provide an adequate alternative to the manual distress signal to detect an emergency situation when the mobile entity is not in a physical condition to manually actuate the distress signal, such as if a person is injured and incapacitated due to a fall. A security system is needed that provides for constant, real time tracking of mobile entities, while being further responsive to a number of alarm conditions to display alarms so assistance may be rendered.

15

## SUMMARY OF THE INVENTION

20

The security system of the present invention is characterized by tracking units or readers carried or mounted on mobile units to operatively communicate status signals indicating identity, present and previous location, and a number of alarm conditions to a local monitoring station. The tracking units or readers are equipped with receivers to receive location signals transmitted by fixed transmitters located throughout the area to be monitored. Each transmitter transmits a unique location signal. The tracking units are operative to transmit a watchdog signal that includes the last two location signals received, a unique identification signal indicative of the particular tracking unit, and any applicable alarm signals triggered by predetermined conditions to which the tracking unit is also operative to detect. The monitoring station is operative to

receive the watchdog signal by way of fixed receivers located throughout the area being monitored and translate the watchdog signal into useful displays.

Other features and advantages of the invention will become apparent from the following detailed description, taken in conjunction with the accompanying drawings, which illustrate, by way of example, the features of the invention.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 presents a block diagram of a monitoring system in accordance with the invention;

FIG. 2 is a side view of an automobile with a module included in the system of FIG. 1 mounted thereon;

FIG. 3 is a perspective view in enlarged scale of the module shown in FIG. 1;

FIG. 4 is a top view of a sensor and a transmitter within the module shown in FIG. 3;

FIG. 5 is a perspective view showing the automobile with the module of FIG. 2 transmitting to a local station;

FIG. 6 is a side view of the automobile of FIG. 2 showing the module mounted at various positions thereon;

FIG. 7 is a perspective view of a receiver coupled to a computer at the local station shown in FIG. 1;

FIG. 8 represents a block diagram of a security system in accordance with the preferred embodiment of the present invention;

FIG. 9 is a perspective view of the interior of a building incorporating a security system in accordance with FIG. 8, including tracking units transmitting a watchdog signal to a radio frequency receiver;

FIG. 10 is a top plan view of a corridor of a building incorporating a security system in accordance with FIG. 8, including infrared transmitters transmitting location signals and a tracking unit transmitting a watchdog signal to a radio frequency receiver;

FIG. 11 is a perspective view in enlarged scale of a tracking unit included in the system shown in FIG. 8;

FIG. 12 is a perspective view in enlarged scale of a portable infrared receiver included in the system shown in FIG. 8;

FIG. 13 is a perspective view of a second embodiment of a tracking unit which may be included in the system shown in FIG. 8 and showing a pull cord to generate a pull-cord alert;

FIG. 14 is a block diagram of an infrared transmitter system included in the system of FIG. 8;

FIG. 15 is a block diagram of a tracking unit included in the system of FIG. 8; and

FIG. 16 is a block diagram of a portable infrared receiver included in the system shown in FIG. 8.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

As shown in the drawings for purposes of illustration, the invention is directed to a system for monitoring the status and whereabouts of individual mobile units or personnel in a specific area such as a school campus, prison facility, hospital, or industrial facility. Often the number of discrete entities or property units to be monitored is so great that it is not feasible for a single person to effectively monitor all of them.

The present invention is embodied in a system for monitoring a number of mobile units such as automobiles, personnel, or animals. In accordance with the present invention, the system utilizes a tracking unit or reader attached to, or carried by, the mobile unit to be monitored to sense and transmit identity, present and previous location, and alarm condition information to

a computer monitoring station. The computer is programmed to record the data corresponding to identity, location, direction of travel, and alarm conditions and display it in a useful manner.

As shown in FIG. 1, the parent duress system of the present invention includes, generally, a local station 20 including a local receiver 22 and a local computer 24 which records and analyzes communications from a plurality of remote modules 26. The modules each include sensors 28 to sense a condition and a transmitter 30 to transmit signals 32 to the local station. The signals transmitted may have any combination of a number of attributes including: shock to the module, tampering, such as an attempt to remove the module from the mobile unit, transportation beyond a predetermined boundary, and low battery in the module.

Referring to FIG. 2, automobiles 34 are typically randomly distributed around an automobile sales lot. The module 26 is attached to each automobile 34 on the lot. The modules 26 each include a housing 36 mounting the respective sensors 28 to activate a transmitter 30 for transmitting from an antenna 38, and a battery 40 as shown in FIGS. 1 and 3. The module housing 36 is constructed of plastic and mounts an annular magnet 42 by means of silicone. The sensors may include a shock sensor 44 (FIG. 1) which detects the presence of a certain level of movement of the car. There may also be a tamper sensor 46 which is activated by unauthorized tampering with the module. The module 26 is magnetically affixed by the magnet 42 to the underside of an automobile 34 as shown in FIG. 2. The module circuit includes a normally open spring loaded switch having an actuation arm which carries an actuation button 48 which protrudes through a bore 50 aligned with the opening in the magnet 42 and to depress to the closed position as a result of this attachment, as shown in FIG. 3. A data port connector 51 (FIG. 3) is formed through the housing and is coupled to the transmitter 30 within the module. An activation switch 52 is formed in said housing and connected in circuit with the internal components of the module to control power to the components.

The transmitter 30 shown in FIG. 4 is responsive to these signals to generate the status signal 32 to be encoded via an encoder 54 (FIG. 1) with a unique identification number associated with the particular module, a battery voltage indicator, a shock flag, and a tamper flag, to be transmitted to the local station 20 by means of the omni-directional antenna 38 (FIG. 1) as shown in FIG. 5. A clock 56, functioning as a proximity signal generator, is coupled with the transmitter to send periodic status signals at regular intervals, for example, every ten minutes. The transmitter power is set relatively low so as to, within a fairly short distance, exceed the range of the signal generated. For automobile lot monitoring, we have selected a power level which provides a signal level of sufficient magnitude to be sensed for so long as the module 26 in the car 34 remains within a distance of about 300 feet from the local station 20, outside this range an alarm is sounded. The components within the module housing are all miniaturized to maintain the module compact.

Signals 32 are transmitted from the module transmitter to the receiver at the local station using the ultra high frequency (UHF) range as shown in FIG. 6. There may be system components interposed between the module and the local station, such as systems for amplification, analysis, or filtration of the transmitted status signals.

Referring to FIG. 1, the local computer 24 is fitted with a keyboard 68, a display 70, and a printer 72. The receiver 22 receives the transmitted signals 32 and converts those signals into digital signals recognizable and readable by a personal computer or a microcomputer. The digital signals are delivered to the local computer by a nine pin serial interface RS-232C connector 76 as shown in FIG. 7. The digital data conforms to recognized RS-232 protocol.

The local computer 24 contains an operating program to monitor in excess of 2,000 mobile units. The computer is programmed to alert in various modes, including prompting an audible alarm, displaying an alarm message on a computer display screen 70 (FIG. 7), printing a hard copy alert on a printer 72 (FIG. 1), or contacting an alpha-numeric pager 80, and alerting



a monitoring station 82 (FIG. 1). This provides for response by management personnel, on-site security, off-site guard service, medical attendants, or police.

The monitoring system is controlled using the computer 24 at the local station. The computer 24 is programmed for recording an identification number of each automobile 34 (FIG. 5) to be monitored, an identification number of the module 26 attached to the automobile 34, the price of the automobile, the date of acquisition, whether the automobile is presently in custody, the zone where the automobile is physically located within the lot, a description of the automobile, the serial number of the automobile, ownership information of the automobile, and authorized possessors of the automobile.

The computer 24 is operated by a program to analyze and respond to the signal received from the receiver 22 (FIG. 1). The operating system program functions as a decoder to decode the identification number of the transmitting module as well as shock, tamper, and battery voltage indicator flags from the receiver signal. The operating system also functions as a discriminator for correlating the identification number with an associated module and determining vehicle information from the module identity. The operating system also includes a detector function to detect the absence of a periodically transmitted signal from a module. The computer 24 at the local station thereby decodes alarm flags and detects the absence of such a receiver signal when expected. The local computer 24 (FIG. 1) also monitors the periodic receipt of receiver signals 74 (FIG. 1) from all of the modules attached to automobiles.

The personal security system of the present invention may be embodied in a system adapted primarily to track a person or thing carrying a tracking unit or reader, generally designated as 240 moving about a predetermined area (FIG. 8). The system, generally designated 210 includes, generally, at least one infrared transmitter 220 located within the area to be monitored, one tracking unit or reader 240 per mobile unit to be monitored, at least one radio frequency receiver 260, and a computer monitoring system 280.

The infrared (IR) transmitter 220 generates and broadcasts a unique location code, set by DIP switches 222, via an IR signal of known strength and range. For large areas or rooms for which it is desirable to indicate as a single location, even though the area exceeds the range of the IR signal, the IR transmitter 220 may take the form of one or more slave IR transmitters 226  
5 connected to a master IR transmitter 224 so that a large area, such as an auditorium, may be identified by a single location code. As depicted in FIG. 14, the master IR transmitter 224 operates on a standard 12-volt DC power supply 228. By setting several DIP switches 222, a data processor 230 is programmed to generate a predetermined location signal. A signal modulator 232 converts the digital location signal from the data processor 230 into analog form and sends  
10 it to a light-emitting diode (LED) driver 234, which then controls an IR LED array 236 to broadcast the IR location signal. Multiple slave IR transmitters 226, also powered by a standard 12-volt power supply 228, include only the LED driver 234 and IR LED array 236 elements, receiving the analog IR location signal from the master IR transmitter 224. While a 12-volt power supply is supposed here, it should be noted that other power supplies may be used by  
15 making appropriate modifications to the circuitry of the IR transmitter 220. The IR transmitters 220 are most effectively located such that the known signal range of each IR transmitter 220 does not overlap with any other IR transmitter 220. As indicated in FIGS. 9 and 10, the IR transmitters 220 may be located on poles, above doors, in a ceiling, or in any other suitable unobtrusive location in a fixed manner to prevent removal or relocation by unauthorized persons. The IR  
20 transmitters 220 are suitable for utilizing power from a 12-volt DC power source. In outdoor areas, or in indoor areas with substantial ambient light that interferes with IR signals or where a continuous line of sight is not possible in the room due to partitions of various forms, the IR transmitter 220 may be replaced with or incorporated to operate selectively or continuously in tandem or together with an RF transmitter or locator 265 operating in a substantially similar  
25 manner as the IR transmitter 220, but in the radio frequency bandwidth.

A mobile unit moving about the area to be monitored, for instance a security guard patrolling a school campus, will carry a tracking unit or reader 240. The tracking unit or reader

240 (hereinafter referred to only as a reader), as indicated in FIGS. 8-13, is operative to receive the location signals broadcast by the IR transmitters 220. Upon receipt of the location signal, the tracking unit or reader 240 generates a watchdog signal that carries the two most recently received location signals, as well as a unique reader identification code, then transmits the  
5 watchdog signal on a radio frequency (RF) signal. The reader 240 is encased in an ABS plastic case, and has dimensions of approximately 10-cm H x 5-cm W x 2.5-cm D, and is powered by a lithium battery. As depicted in FIG. 15, the reader 240 includes an IR receiver 242 for receiving the IR location signal.

Connected to the IR receiver 242 is an electrical amplifier/filter 244 to amplify the IR  
10 location signal and increase the signal-to-noise ratio to a useful level. A signal demodulator 246 converts the analog IR location signal to a digital signal suitable for use by the reader's programmable data processor 248. The reader's RF transmitter 250 transmits the watchdog signal using 900 MHz Spread Spectrum Technology via an internal wire antenna 252. The reader 240 further includes an internal programmable data processor 248 which can be programmed with  
15 a unique reader identification code and is operative to generate the watchdog signal. The reader 240 also includes a data port 251 for connection by a suitable cable of an external programming device such as a personal computer for programming the data processor 248.

As shown in FIGS. 8 and 12, an external IR receiver 254 may also be used. The external  
20 IR receiver 254 includes an external clip 256 for attaching it to an item of outer clothing, such as the lapel of a jacket, or to a carry strap. The external clip 256 may take several forms, including a flexible or spring-loaded lever, a pin, or a fabric hook and loop fastener such as Velcro. An electrical cable 257 and plug 258 connect the external IR receiver 254 to the reader 240 by means of a plug port 259. The external IR receiver 254 includes an electrical  
25 amplifier/filter 244 and a signal demodulator 246. The signal demodulator 246 sends the converted digital IR location signal to the reader's data processor 248 by way of the electrical cable 57. In high ambient light conditions where it is necessary to use the RF transmitters 265

in place of IR transmitters 220 as described above, the IR receiver 242 of the reader 240 may be replaced or incorporated to operate selectively or continuously in tandem or together with a similarly-functional RF receiver.

5 The internal data processor 248 is also operable to generate a number of auxiliary alarm signals upon detection of certain predetermined alarm conditions. The auxiliary alarm signals are also carried on the watchdog signal to be interpreted by the computer monitor system 280 for display as one of several alerts. A manual emergency switch 241 may be activated to close an internal electrical switch to generate an electrical signal, actuating the transmitter to transmit an immediate panic alarm signal. A pull cord switch assembly 243 is operative to generate a tamper  
10 alert when pull cord 245 is pulled, separating the pull cord switch assembly 243 from the rest of the reader 240, triggering the pull cord switch 247, and indicating that someone has attempted to remove the reader 240 from the mobile unit. The pull cord 245 is attached at one end to the pull cord switch assembly 243, and at the other end to the mobile unit itself.

15 An orientation detector is included, consisting in the preferred embodiment of a mercury tilt switch, normally open, that is operative, when the reader is tilted more than 60 degrees, plus or minus 10 degrees from its normal upright attitude, to close and trigger a man-down switch 249 and to cause the internal data processor 248 to generate a man-down alarm signal when the reader 240 is rotated in any direction beyond a predetermined tolerance of 15 degrees. Such rotation is indicative that the mobile unit or person carrying the reader 240 has become disabled  
20 and has fallen down. In the case of a security guard or other person, the man-down alert allows help to be summoned in the case that the security guard is injured and unable to summon help him/herself. In an alternative embodiment, the data processor 248 can be programmed to delay sending the man-down alarm signal for a predetermined time, and instead flash an LED or trigger an audible buzzer to give the person an opportunity to return the reader 240 to the proper  
25 orientation no alarm.

The watchdog signal sent by the reader 240 is received by one or more RF receivers 260, which converts the watchdog signal to a serial data stream to be communicated to the computer monitor system 280 by way of an RS-232 serial cable, the data stream conforming to the RS-232 standard. The RF receiver 260 is powered by a 12 Volt DC power supply 262, and operates  
5 using 900 MHz Spread Spectrum Technology to provide a FCC-certified wireless communications link, receiving the watchdog signal via a 3.5-inch antenna 264. When the readers 240 are to be used in large open areas, wireless RF repeaters (FIG. 8) may optionally be used to greatly extend the transmitting range of the readers 240. The RF repeaters 266 operate on a 12 to 20-volt AC power supply, and operate to receive the watchdog signal from the readers  
10 and amplify and retransmit it to the RF receivers 260.

As depicted in FIG. 8, the computer monitor system 280 consists of a local computer 282 fitted with a keyboard 283, mouse 284, video display monitor 285, and a printer 286. The RF receiver 260 receives the watchdog signal and converts it to a serial data stream recognizable and readable by a personal computer or a microcomputer.

15 In the preferred embodiment, the local computer 282 is an IBM PC-compatible personal computer including an Intel Pentium processor, and capable of running an operating system such as Microsoft (MS) Windows 3.1, MS Windows for Workgroups 3.11, MS Windows 95, MS Windows NT 4.0, or a later version of one of these operating systems that is backward-compatible with software currently operable. The local computer 282 is equipped with at least  
20 16 Megabytes (MB) of random access memory (RAM), though 32 MB is recommended if MS Windows NT is the selected operating system. The local computer 282 also includes a video card for displaying video at a resolution of 1024 x 768 pixels in at least 16 colors on a 17-inch color video display monitor. An appropriate sound card will allow local alerts to be audibly signaled, and a backup system, for instance, a magnetic tape backup system, will protect system data from  
25 loss.

As depicted in FIG. 8, the computer monitor system 280 is connected to an input/output (I/O) interface 288, allowing events registered by the computer monitor system 280 to activate other devices or systems, such as a closed-circuit television (CCTV) system 290 including cameras and monitors, an intercom system 292, or an alarm system 294 including other audible and/or visual alarms such as siren horns, bells, and/or warning lights, or a modem/dialer 296 to contact and send information to another monitoring center, allowing the local computer 282 to be left unattended, calling a central headquarters when alarm conditions are present.

The local computer 282 is equipped with a software program to monitor in excess of 65,000 readers 240, 65,000 IR transmitters 220, and up to eight RF receivers 260, and includes a software database containing all of the attributes of each of these items, including the identification codes associated with each reader and IR transmitter. By comparing the incoming watchdog signal with the values contained in this database, the local computer 282 is operative to display the source of the discerned location signal and reader identification signal. By way of this software program, the local computer is programmed to record the time, according to its internal clock, of receipt of the watchdog signals from the RF receivers 260. The software program records all watchdog signals and alarm signals including emergency manual panic alarms, man-down alarms, pull cord tamper alarms, and alarms related to failure of the reader 240 to detect a location signal or failure to detect a location signal different from the one most recently received by the reader (indicating the mobile unit has become stationary). The software generates a complete log of every transaction that occurs during a monitoring period and provides summary reports of particular actions, such as instances when a watchdog signal is not received, a highlighting of potential injury to mobile units, and notification that certain mobile units have, without prior authorization, been removed from the monitoring area.

In operation, the end user installs the desired number of IR transmitters 220 throughout the area to be monitored, taking care to place the IR transmitters no closer than the effective signal range to ensure that each reader 240 receives only one IR location signal at a time. The

DIP switches 222 on each IR transmitter 220 are set to identify each room or section of the area to be monitored with a unique location code. The location codes set for each IR transmitter 220 are also entered into the database of the software program of local computer 282, so the receipt of a particular location code by the local computer 282 will allow an indication of the actual  
5 corresponding physical location to be displayed by the monitoring system 280.

A plurality of RF receivers 260 are also installed at locations throughout the monitored area such that the entire monitored area is covered by the effective reception range of the RF receivers 260. The RF receivers 260 are then connected by cable to the monitoring system 280.

Each person to be tracked in the area to be monitored is issued a reader 240. The  
10 database of the software program of local computer 282 is updated to correlate the identity of the person to be tracked with the unique identification of the reader 240, such that when the monitoring system 280 receives a signal from a particular reader 240, the local computer 282 will display the identity of the person carrying that reader 240.

As the person carrying the reader 240 moves about the monitored area, he/she will  
15 continually be moving into the signal range of different IR transmitters 220. If the person is wearing heavy clothing, he/she may choose to use the external IR receiver 254 and clip it to his/her outer clothing, while carrying the reader 240 in a pocket, on a belt, or around the neck on a carry strap and plugging the electrical cable 257 into the reader's plug port 259. The IR receiver 242 (internal) or 254 (external) of the reader 240 detects the IR location signal and  
20 communicates the last two received location signals to the internal data processor 248. The data processor 248 combines the location signal with its own unique identification signal and periodically causes the reader's RF transmitter 250 to transmit a watchdog signal.

If the person is in apprehension of some harm, he/she may activate a panic alarm by manually actuating the manual emergency switch 241, which causes the data processor 248 to

generate a panic alarm signal and combine it with the watchdog signal. If the person should fall, the reader's man-down switch 249 is actuated if the reader 240 tilts more than 60 degrees, plus or minus 10 degrees, from the normal upright position and isn't righted within a predetermined time. When actuated, the man-down switch 249 causes the data processor to generate a man-down alarm signal, which is combined with the watchdog signal transmitted by RF transmitter 250. A pull-cord assembly 243 is attached at one end to the reader 240 and at the other end to the person carrying the reader. If the reader 240 is forcibly removed from the person, for instance in case of an attack by a violent perpetrator, the pull-cord switch 247 is actuated, causing the data processor to generate a pull-cord alarm signal, which is combined with the watchdog signal.

The watchdog signal transmitted by the reader 240 is received by the closest RF receiver 260 and communicated to the local computer 282 of the monitor system 280. The software program of the local computer 282 reads the IR transmitter or locator location signals, reader identification signals, and any alarm signals, and compares the signals to its database to generate one or more displays that indicate the identity of the person carrying the particular reader 240, the location of the IR transmitter 220 closest to the person, the location of the IR transmitter 220 most recently previously encountered by the reader 240, and any alarm conditions indicated by the alarm signals. The incoming signals may also cause the monitoring system 280 to produce an audible alarm, communicate with another monitoring location by means of the dialer/modem 296, activate a CCTV system 290 to visually monitor the location, or activate an intercom system 292, so verbal communication with the person being tracked may be initiated.

While multiple particular forms of the present invention have been illustrated and described, it will also be apparent that various modifications can be made without departing from the spirit and scope of the invention.



**WHAT IS CLAIMED IS:**

1. A security apparatus for monitoring a condition of a mobile unit to be located in a predetermined area and comprising:

5 a plurality of location transmitters to be spaced a selected distance apart within said predetermined area and operative to generate and transmit respective location signals identifying the respective location transmitters;

10 at least one portable reader to be carried on said mobile unit and including a portable receiver for receiving said location signals and responsive to said location signals to generate a watchdog signal corresponding to the respective location signals and also including a portable transmitter for transmitting said watchdog signal;

at least one stationary receiver responsive to said watchdog signal to generate a control signal indicative of said watchdog signal; and

15 a monitor for coupling with said stationary receiver and responsive to said control signal to generate a readout indicative of the location transmitter generating the respective location signals.

2. A security apparatus according to claim 1 for monitoring a condition of a plurality of mobile units to be located in said predetermined area, and including:

5 a plurality of said portable readers, one for each respective mobile unit, and each including a portable receiver for receiving said location signals and a generator responsive to the respective said location signals to generate a watchdog signal indicative of the respective location signal and also indicative of the particular said portable reader.

3. A security apparatus according to claim 2 for connection with a selected power source and wherein:

said location transmitters are adapted for connection with said selected power source and are operative to generate respective location signals having a predetermined strength at a predetermined distance from the respective said location transmitters; and

said portable receivers being operative to, upon detecting said location signals at or above said predetermined strength, generate said watchdog signals.

4. A security apparatus according to claim 1, wherein:

said monitor is operative to generate said readout signal as a visual signal or audio signal.

5. A security apparatus according to claim 1, wherein:

said monitor includes a computer.

6. A security apparatus according to claim 2, wherein:

said portable readers include respective auxiliary inputs operative to generate auxiliary signals to be carried on the respective watchdog signals to produce respective auxiliary watchdog signals;

said monitor includes a receiver responsive to said auxiliary watchdog signals to generate corresponding auxiliary electrical signals; and

said monitor includes an auxiliary alert responsive to said auxiliary electrical signals to generate an alert.

7. A security apparatus according to claim 1, wherein:

said portable reader includes a detector responsive to the absence of said location signal to generate and transmit a missing-unit alarm signal;

said receiver includes means responsive to said missing-unit alarm signal to generate a missing-unit alarm electrical signal; and

said monitor includes an alarm responsive to said alarm electrical signal to generate a perceptible alarm.

8. A security apparatus according to claim 1, wherein:

said portable reader includes a plurality of components and a tamper circuit connected with said components and responsive to shifting of any one of said components to generate a portable reader tamper signal; and

5 said receiver is responsive to said portable reader tamper signal to generate a portable reader tamper electrical signal; and

said monitor is responsive to said electrical signal to generate a tamper alarm.

9. A security apparatus according to claim 6 wherein:

said auxiliary inputs include a manual actuation button.

10. A security apparatus according to claim 1 wherein:

said monitor includes a memory for detecting watchdog signals corresponding to each of said location transmitters and a detector responsive to the absence of watchdog signals from at least one of said location transmitters to generate an electrical loss signal, and a loss alert  
5 responsive to said loss signal to generate a loss alert alarm.

11. A security apparatus according to claim 2 wherein:

said monitor includes a detector for detecting the presence of a watchdog signal corresponding with each of said transmitters and operative in response to the absence of a watchdog signal corresponding with any one of said transmitters to generate a location alert electrical  
5 signal, and a loss alert responsive to said electrical signal to generate a loss alarm.

12. A security apparatus according to claim 2 wherein:

said portable readers include a detector for detecting the respective actual attitude and orientation of the respective said portable readers relative to respective reference attitudes and orientations to, upon detection of said actual attitude and orientation differing from said reference attitude and orientation, generate respective man-down electrical signals if said attitude and orientation deviates from said reference by more than a predetermined amount; and  
said monitor is responsive to said man-down electrical signal to generate a man-down alarm.

13. A security system for monitoring and tracking the movement and condition of a plurality of mobile remote units located within a predetermined area of interest, comprising:

a plurality of fixed transmitters located at selected locations throughout said predetermined area of interest, each of said transmitters generating and transmitting a unique location signal of a predetermined signal strength and signal range such that said fixed transmitters may be arranged in a spaced apart manner at a distance equal to approximately twice said known signal range;

at least one portable reader, including a portable receiver responsive to said location signals from said fixed transmitters, attached to each of said mobile remote units and operative to generate and transmit a watchdog signal. said watchdog signal carrying said unique location signal as well as a unique portable reader identification signal;

at least one fixed receiver for receipt of said watchdog signal and located such that said watchdog signals may be detected throughout entire said predetermined area of interest; and

a monitor system connected with said fixed receiver and responsive to said watchdog signal to display identification and location information.

14. The security system of claim 13, wherein:

said fixed transmitters include infrared transmitters for transmitting an infrared location signal; and

5       said portable reader includes a portable infrared receiver responsive to said infrared location signal.

15.     The security system of claim 13, wherein:

      said portable reader includes a radio frequency transmitter operating on a frequency of 900 MHZ to transmit said watchdog signal.

16.     The security system of claim 15, further including:

      at least one radio frequency repeater to receive said watchdog signal and retransmit it to said fixed receivers.

17.     The security system of claim 13, wherein:

      said portable reader includes an auxiliary alarm signal generator for generating and sending auxiliary alarm signals on the respective said watchdog signals; and

5       said monitor system is further responsive to said auxiliary alarm signals to monitor and report respective said auxiliary alarm signals received.

18.     The security system of claim 17, wherein:

      said portable reader includes an exterior casing;

5       said portable receiver is located outside said exterior casing and is connected to said portable reader by a suitable electrical cable and includes a clip for releasable attachment to outer clothing; and

      said portable reader includes a clip for releasably fastening said portable reader to a piece of clothing or hanger.

19.     The security system of claim 17, that includes:

      a flexible tether attaching said portable receiver to said portable reader such that said portable receiver may be manipulated about relative to said portable reader.

20. The security system of claim 17, wherein:

said portable reader is responsive to the absence of any of said location signals to cause said auxiliary alarm signal generator to generate a location loss auxiliary alarm signal to be carried on said watchdog signal; and

5                   said monitor system is responsive to said location loss auxiliary alarm signal to generate a location loss alert.

21. The security system of claim 17, wherein:

said portable reader includes a manual switch to cause said auxiliary alarm signal generator to generate a manual panic auxiliary alarm signal to be carried on said watchdog signal; and

5                   said monitor system is responsive to said manual panic auxiliary alarm signal to generate a manual panic alert.

22. The security system of claim 17, wherein:

said portable reader includes a detachable pull-cord switch assembly and a pull-cord switch responsive to disconnection of said pull-cord switch assembly to cause said auxiliary alarm signal generator to generate a pull-cord auxiliary alarm signal to be carried on said watchdog signal; and

5                   said monitor system is responsive to said pull-cord auxiliary alarm signal to generate a pull-cord alert.

23. The security system of claim 17, wherein:

said portable reader includes a detector to detect change in attitude or orientation relative to a preselected reference and is responsive to a predetermined magnitude of said change to cause said auxiliary alarm signal generator to generate a man-down auxiliary alarm signal to be carried on said watchdog signal; and

5

said monitor system is responsive to said man-down auxiliary alarm signal to generate a man-down alert.

24. The security system of claim 13, wherein:  
said monitor system includes a computer.

25. The security system of claim 24, wherein:  
said computer includes in input interface to read said watchdog signal and a software control program responsive to said watchdog signal, a software database of all said location signals, portable reader identification signals, and auxiliary alarm signals, and an output  
5 interface operative to direct said signals to a display device to display indices corresponding with the respective said signals.

26. A personal duress security system for monitoring the location, motion, and status of each of a plurality of persons located within a preselected area, and comprising:

a plurality of fixed infrared transmitters installed at various selected locations throughout said area of interest and operative to generate respective infrared signals unique to  
5 each of said infrared transmitters;

a plurality of portable personal tracking units bearing respective identification indicia and including respective infrared receivers, internal data processors, and radio frequency transmitters connected together in an electrical circuit, said infrared receivers operative to detect the respective said infrared signals and operative in response thereto to generate an actuation  
10 signal and to communicate said actuation signal to said data processor, said data processor being responsive to said actuation signals and operative to periodically generate a watchdog signal including last two received said actuation signals corresponding with the respective infrared signals and carrying as a component, a tracking unit identification signal, and any of a plurality of alarm signals in response to a selected plurality of alarm conditions and direct said watchdog

15 signal to said radio transmitter, said radio transmitter being operative to periodically broadcast said watchdog signal;

a computer control system responsive to said watchdog signal and operative to distinguish said location signal, said identification signal, and said alarm signals from each other within said watchdog signal and consult a database of known values for said signals such that  
20 the location of the last two infrared transmitters, the identity of the person associated with said tracking unit, and the presence of any of said plurality of alarm conditions causing one or more of said plurality of alarm signals may be displayed in a convenient manner on a computer monitor and/or a computer printer; and

at least one radio frequency receiver connected to said computer control system  
25 and operative to receive said watchdog signal and carry it to said computer control system.

27. The personal duress security system of claim 26, further including:

at least one radio frequency repeater operative to detect said watchdog signal and retransmit it to said radio frequency receivers.

28. The personal duress security system of claim 26, wherein:

said radio frequency transmitter operates on a frequency of 900 MHZ.

29. The personal duress security system of claim 26, wherein:

said infrared receiver is flexibly connected to the exterior of said tracking unit such that said tracking unit may be carried by hand or in a pocket and said infrared receiver may be releasably attached to an article of outer clothing.

30. The personal duress security system of claim 26, wherein:

said tracking unit includes a manual switch operative to generate a panic alarm signal; and



5       said computer control system is responsive to said panic alarm signals to display  
a panic alert.

31.     The personal duress security system of claim 30, wherein:  
      said internal data processor includes a detector operative to determine that it is not  
receiving any said location signal and generate a second said one of said alarm signals; and  
      said computer control system is responsive to said second alarm signal to display  
an out-of-area alert.

32.     The personal duress security system of claim 30, wherein:  
      said tracking units include a respective pull-cord switch operative to generate  
respective pull-cord alarm signals and respective detachable pull-cord switch assemblies  
operative upon pulling thereon to actuate the respective pull-cord switches; and  
5       said computer control system is responsive to said pull-cord alarm signals to  
display a pull-cord alert.

33.     The personal duress security system of claim 30, wherein:  
      said internal data processor includes an orientation detector to detect any change  
in attitude or orientation of said tracking unit relative to a preselected reference and generate a  
man-down alarm signals; and  
5       said computer control system is responsive to said man-down alarm signal to  
display a man-down alert.

34.     The personal duress security system of claim 26, further including:  
      an alarm system coupled with said computer control system and responsive to said  
alarm signals and operative to generate an audible alert.

35. A portable security apparatus for use with a security system for monitoring the location, movement, and status of at least one mobile unit to be located in a predetermined area including at least one infrared transmitter to be located at a selected location within said predetermined area and operative to transmit an infrared location signal indicative of said  
5 infrared transmitter, also including at least one radio frequency receiver located within said predetermined area and operative to receive a watchdog signal and transmit it to a monitor system, said monitor system operative to convert said watchdog signal to a useful display, said apparatus comprising:

- an infrared receiver operative to receive said location signal;
- 10 a signal generator operative to generate said watchdog signal, said watchdog signal including said location signal; and
- a radio frequency transmitter operative to transmit said watchdog signal.

36. The portable security apparatus of claim 35, wherein:  
said infrared receiver includes a clip for releasable attachment to an article of clothing and is flexibly attached to the exterior of said apparatus by a suitable electrical cable.

37. The portable security apparatus of claim 35, wherein:  
said signal generator generates an identification signal unique to said apparatus, said identification signal being carried on said watchdog signal with said location signal.

38. The portable security apparatus of claim 35, wherein:  
said signal generator includes a memory operative to store last two said location signals detected, said signal generator also operative to include said last two location signals with said watchdog signal.

39. The portable security apparatus of claim 35, further including:

a manual switch operative to cause said signal generator to generate a manual panic alarm signal to be included in said watchdog signal.

40. The portable security apparatus of claim 35, further including:

an external housing encasing said apparatus; and

a data port connector formed through said housing and operative to allow an external programming device to connect to said signal generator and memory to permit programming thereof.

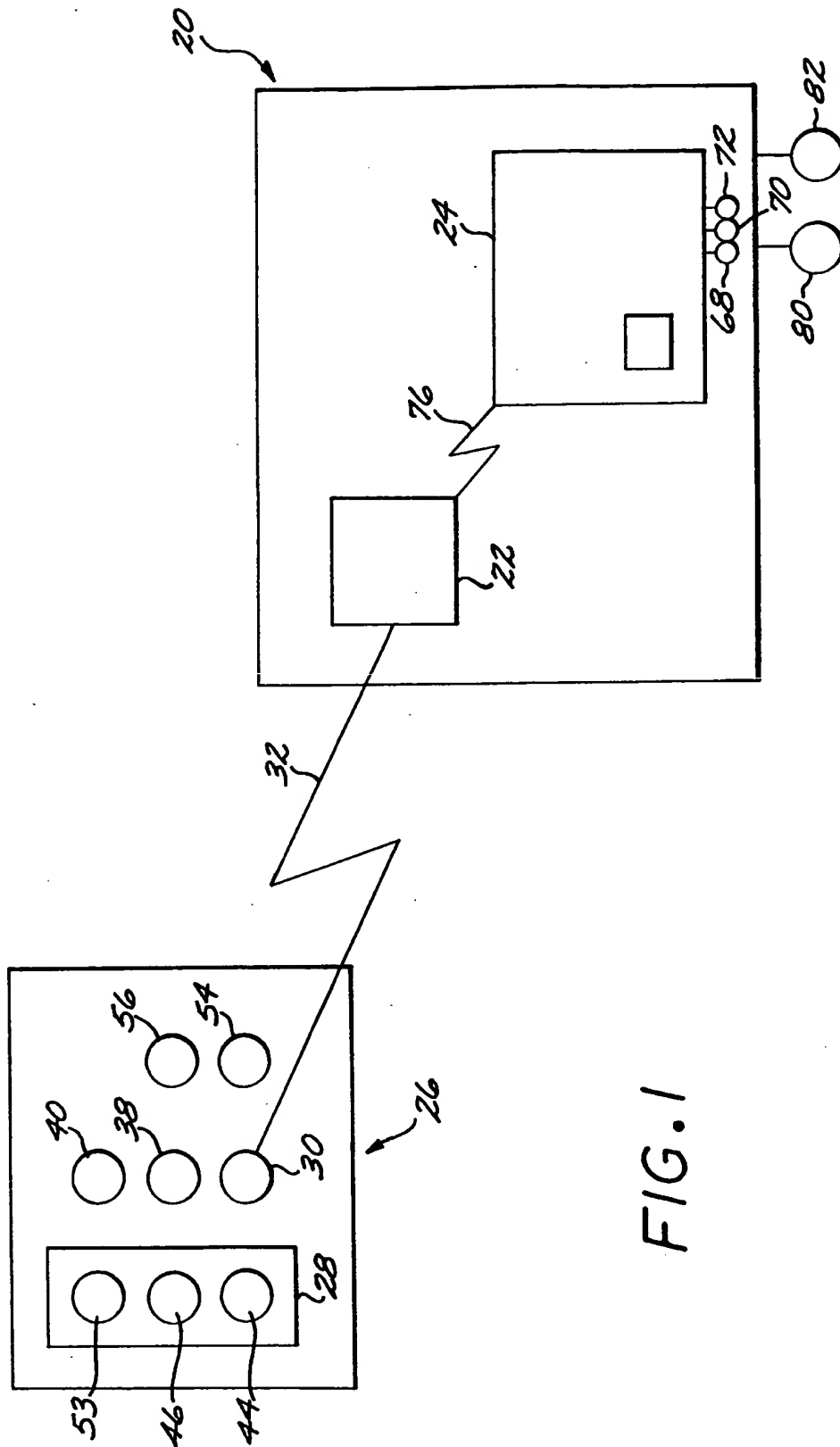


FIG. 1

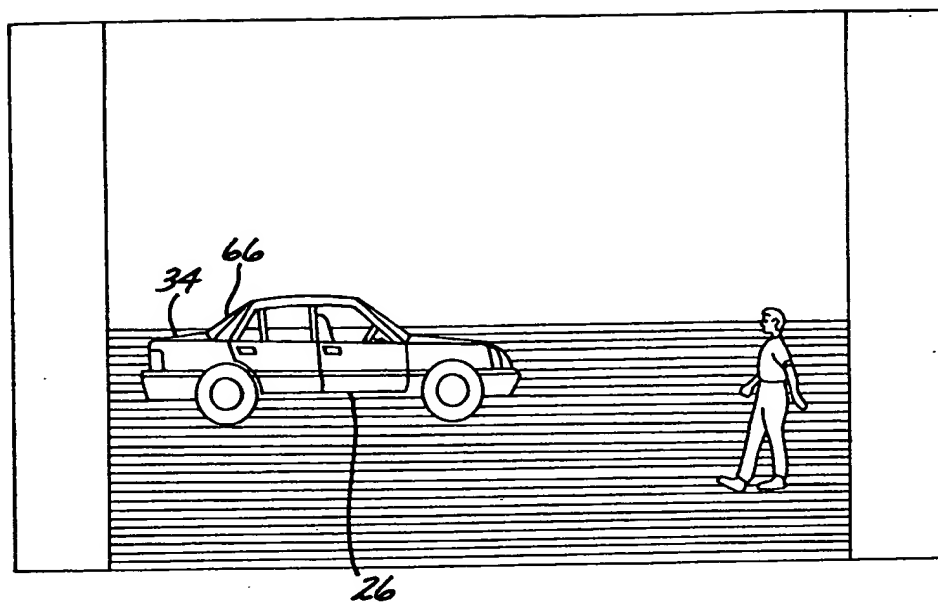


FIG. 2

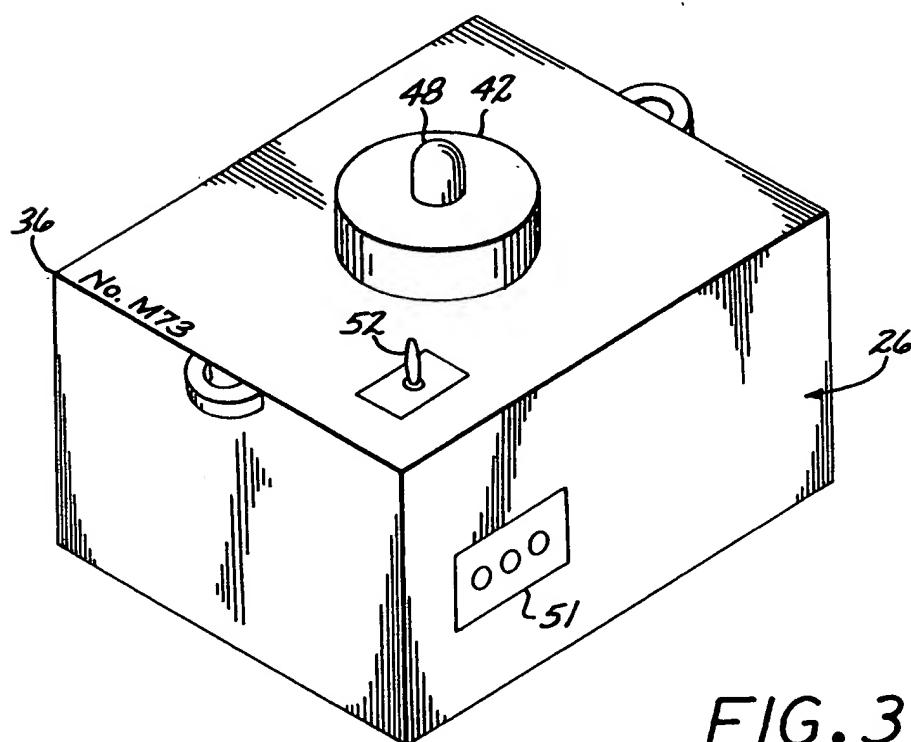


FIG. 3

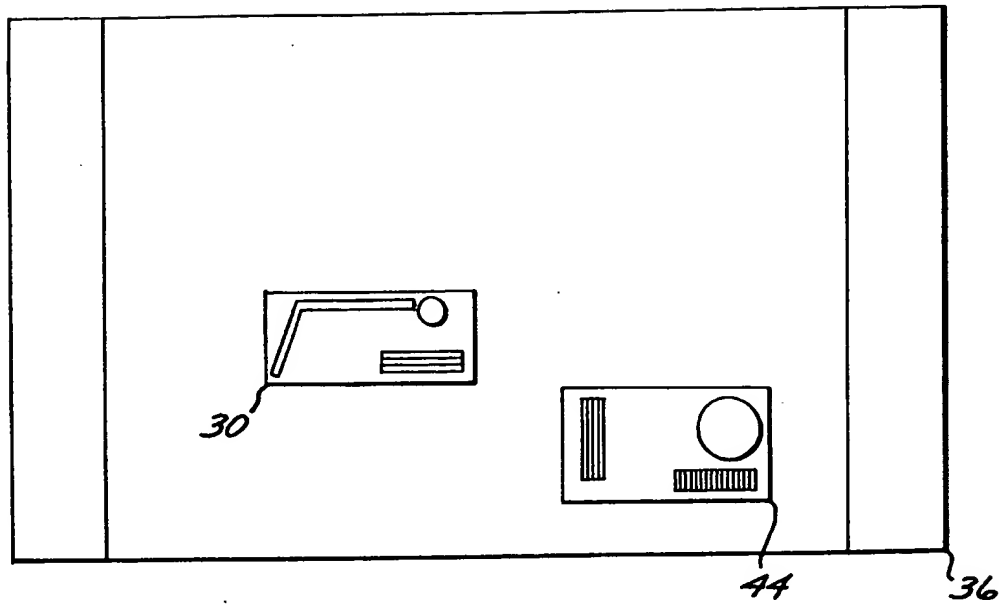


FIG. 4

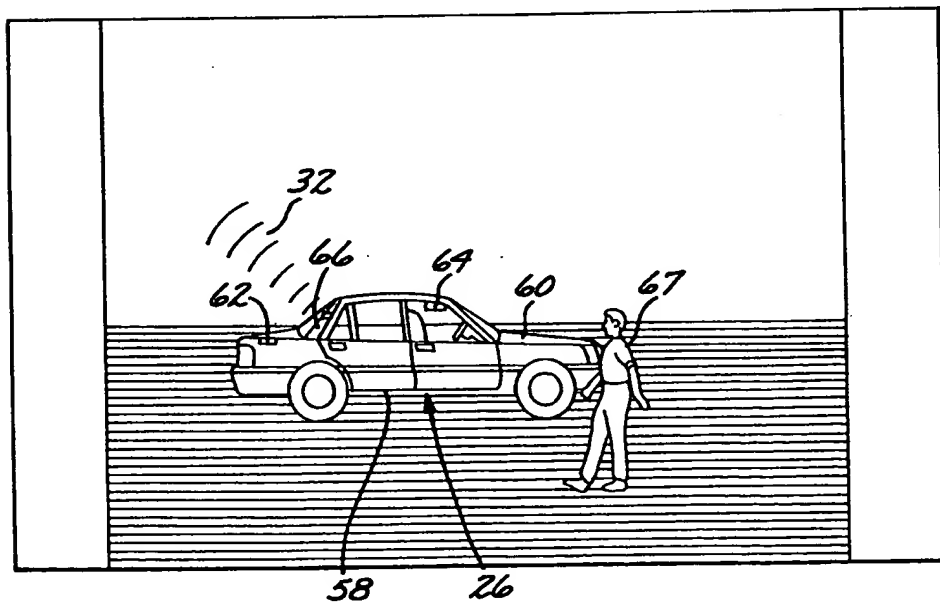


FIG. 6

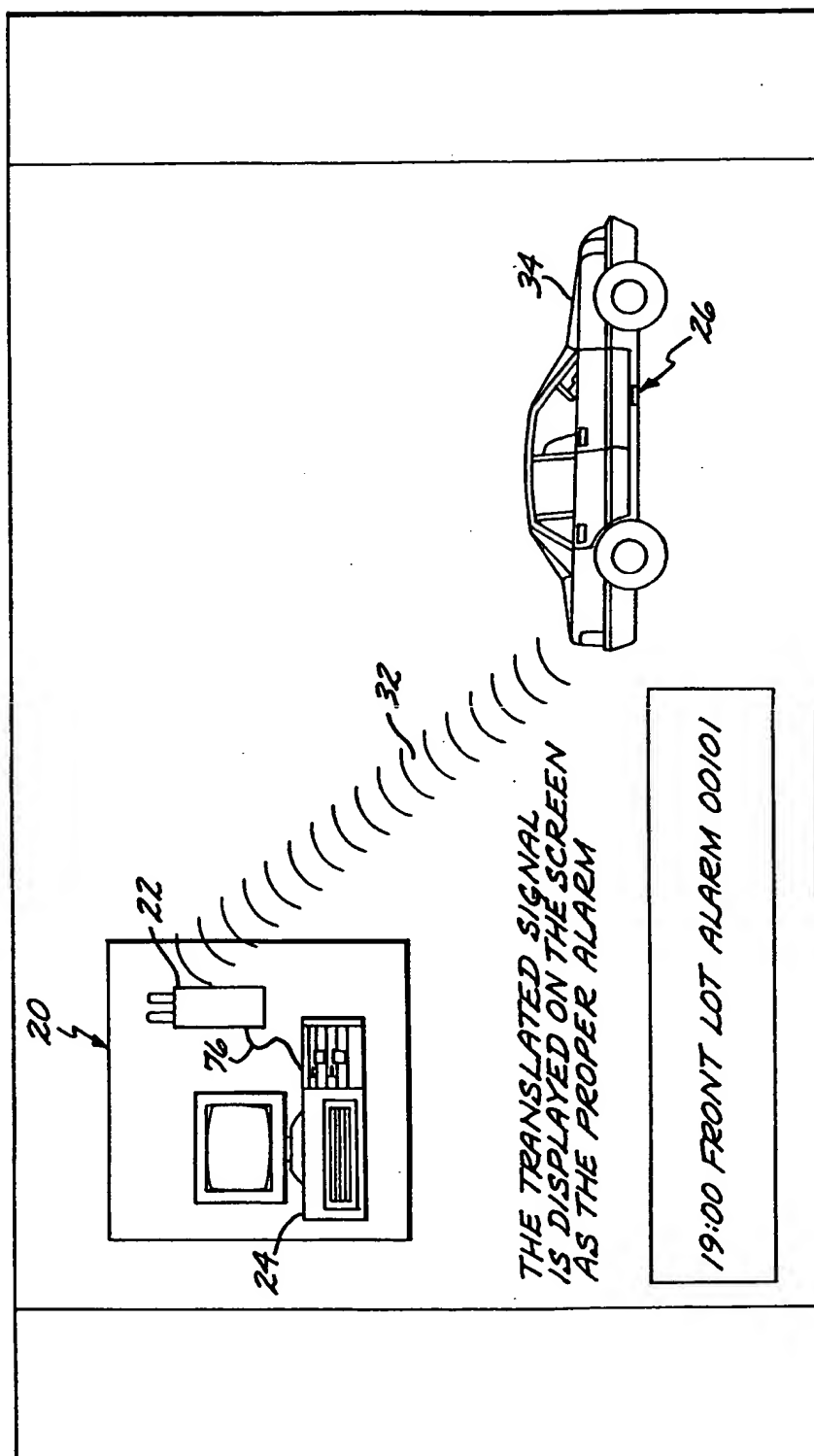
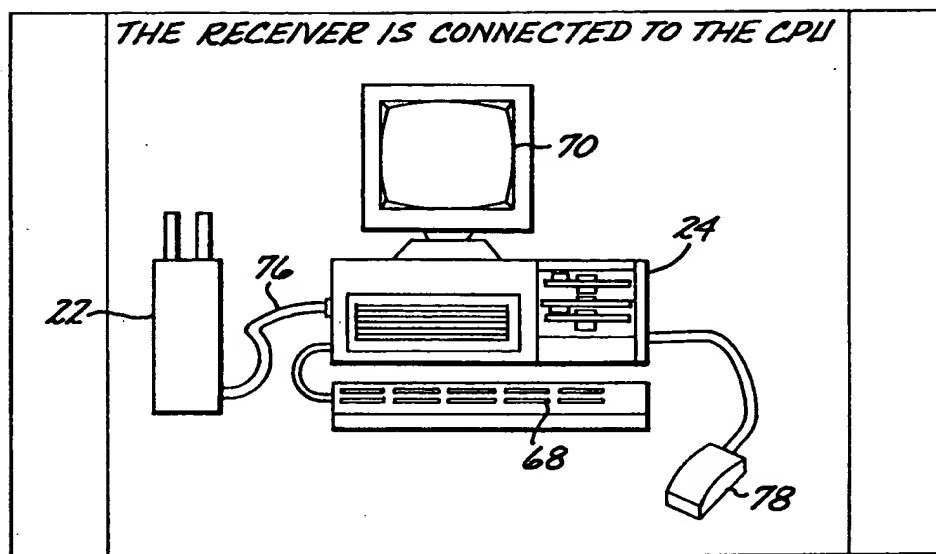


FIG. 5



*FIG. 7*





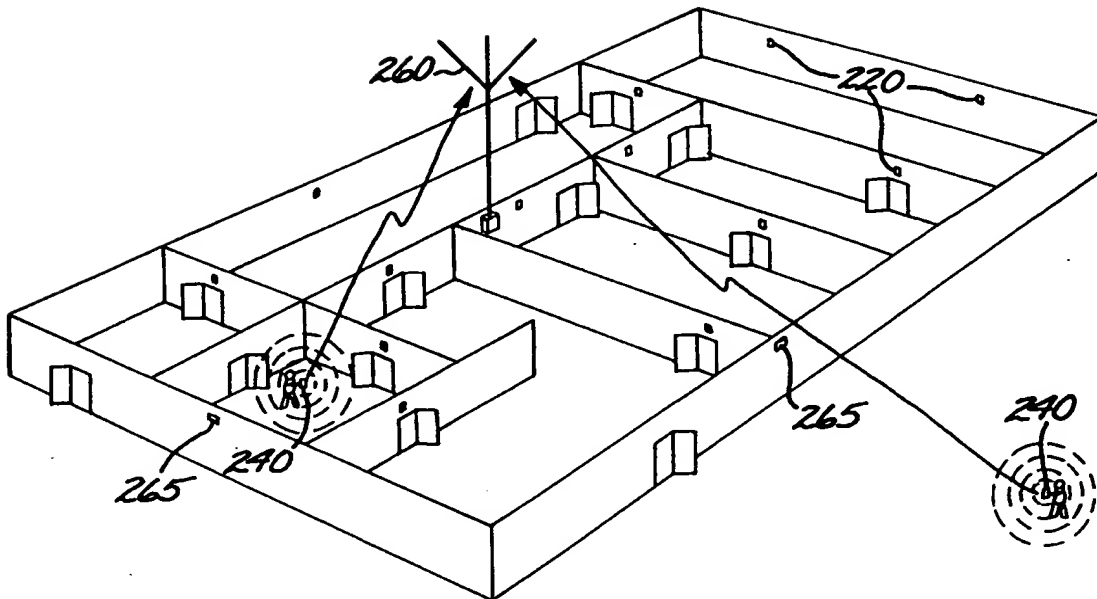


FIG. 9

FIG. 10

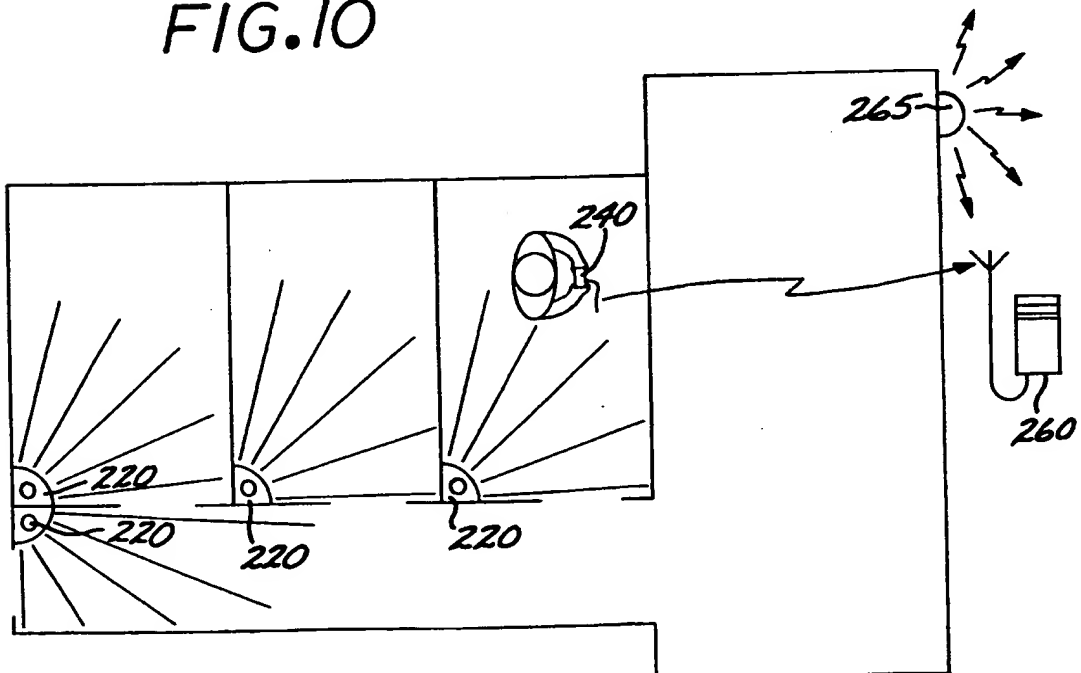


FIG. 11

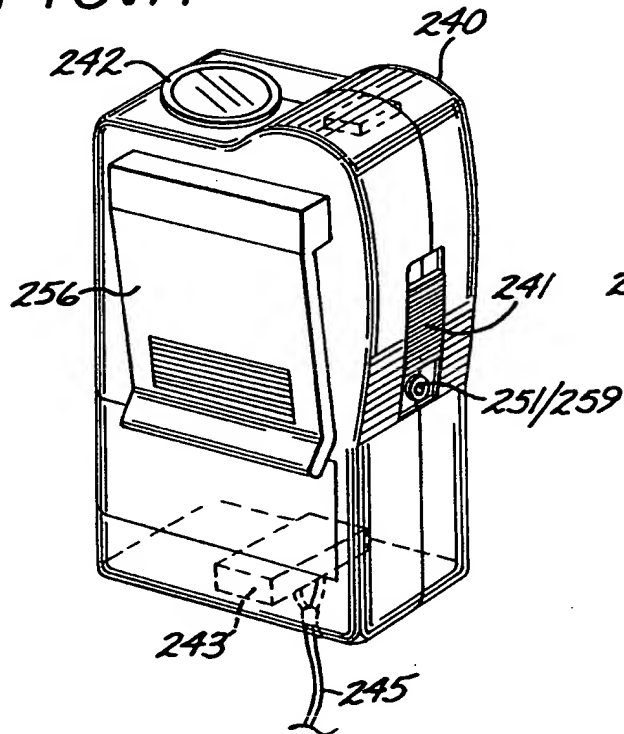


FIG. 12

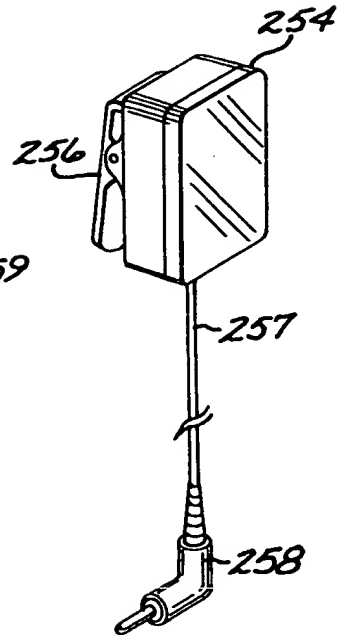
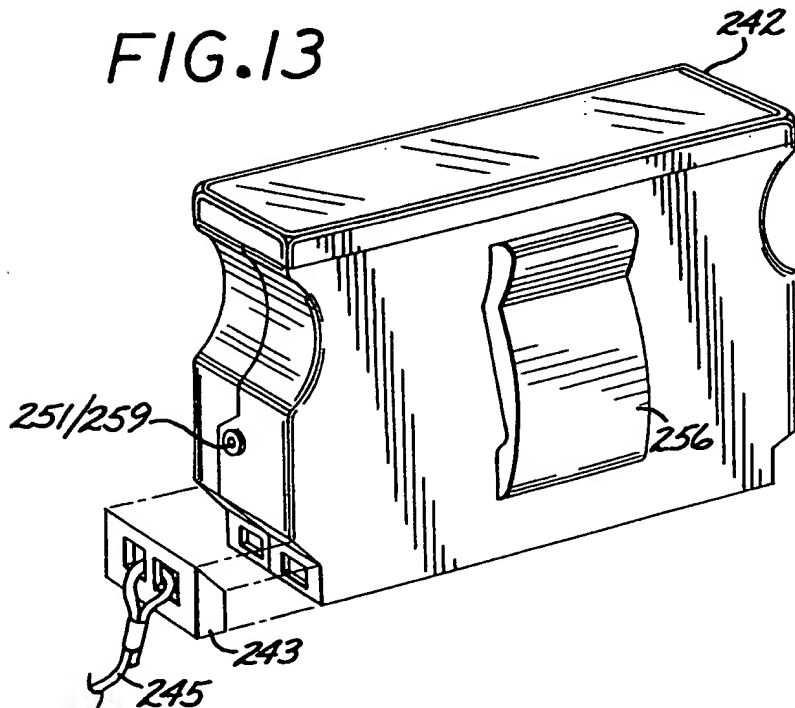


FIG. 13



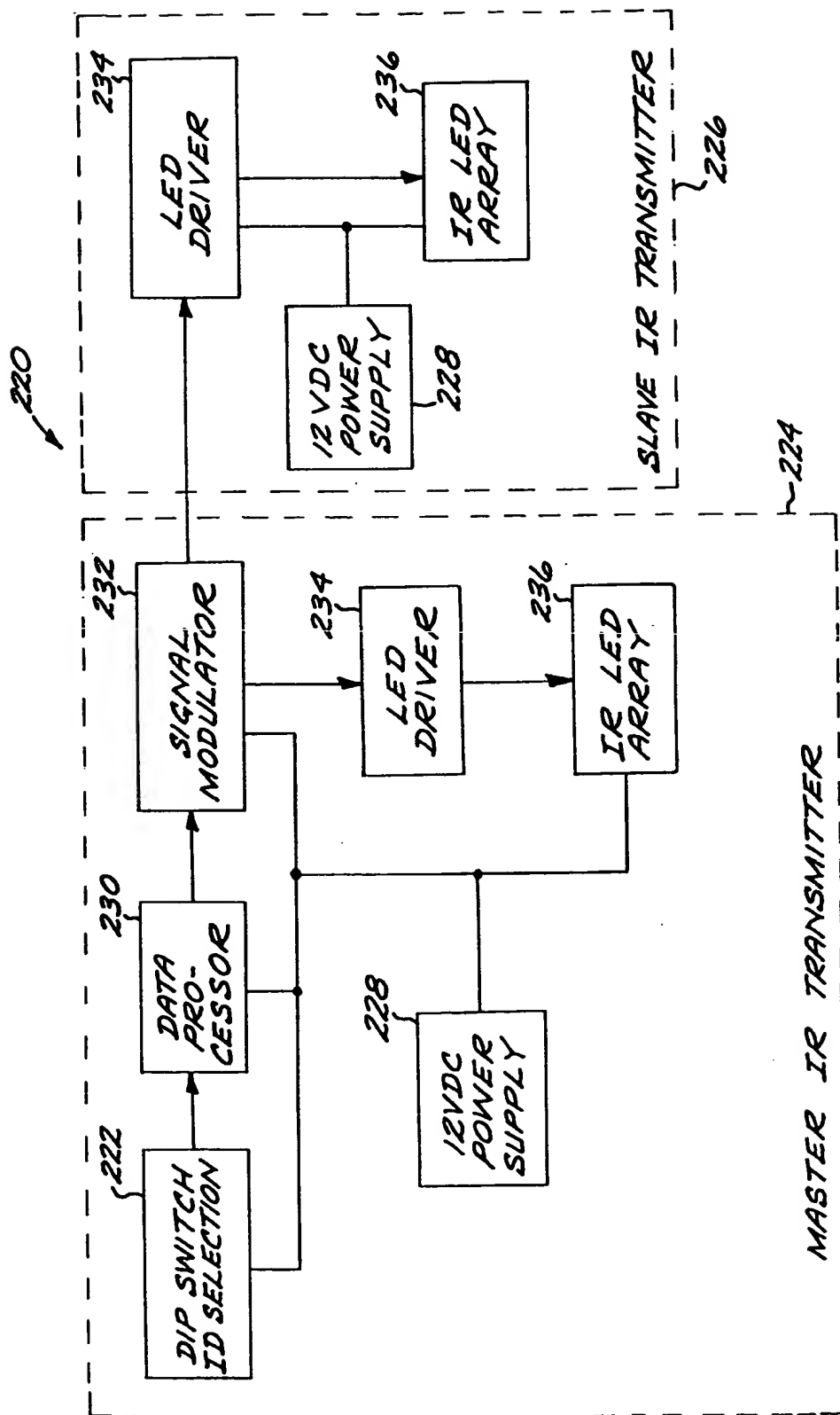


FIG. 14

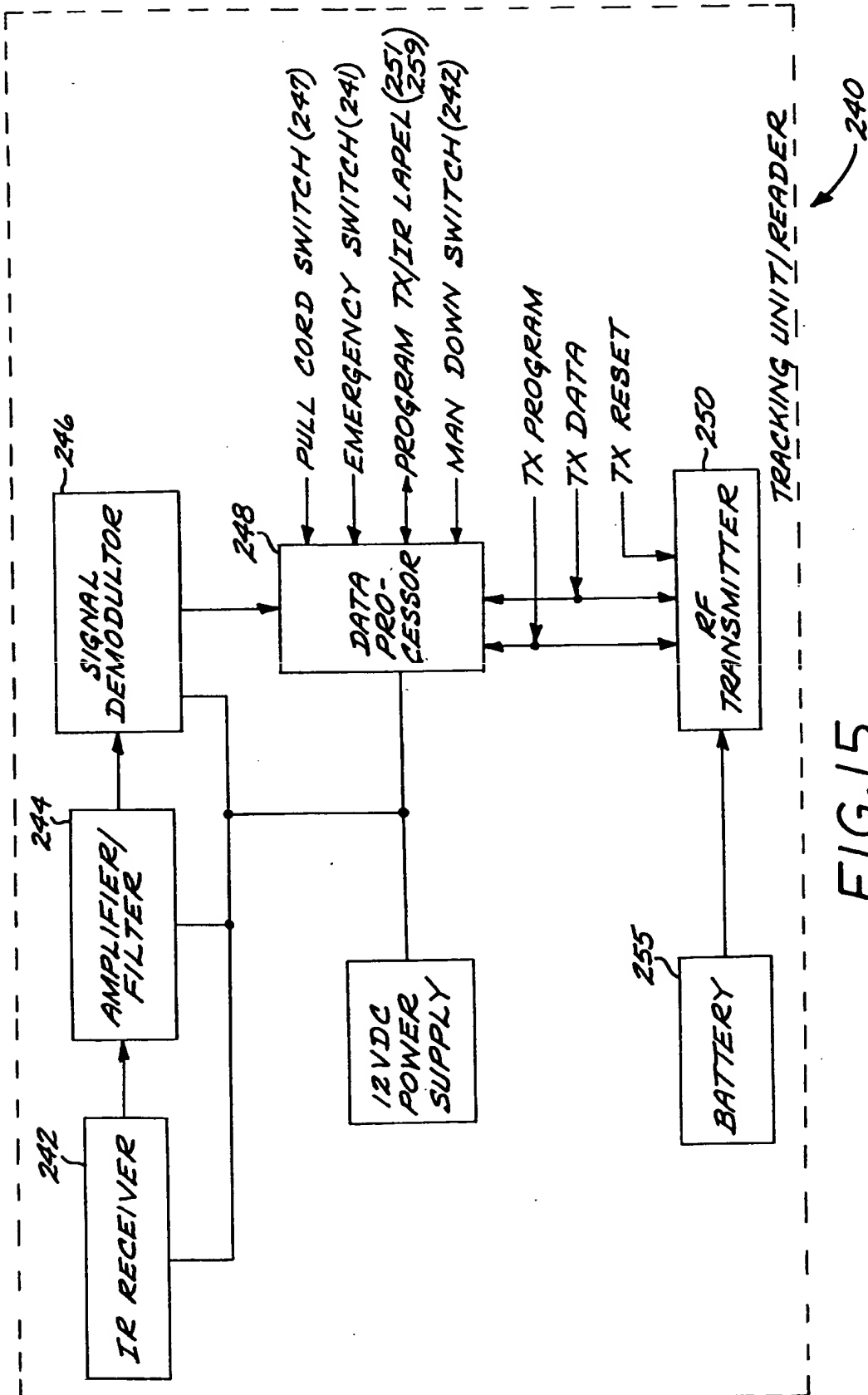
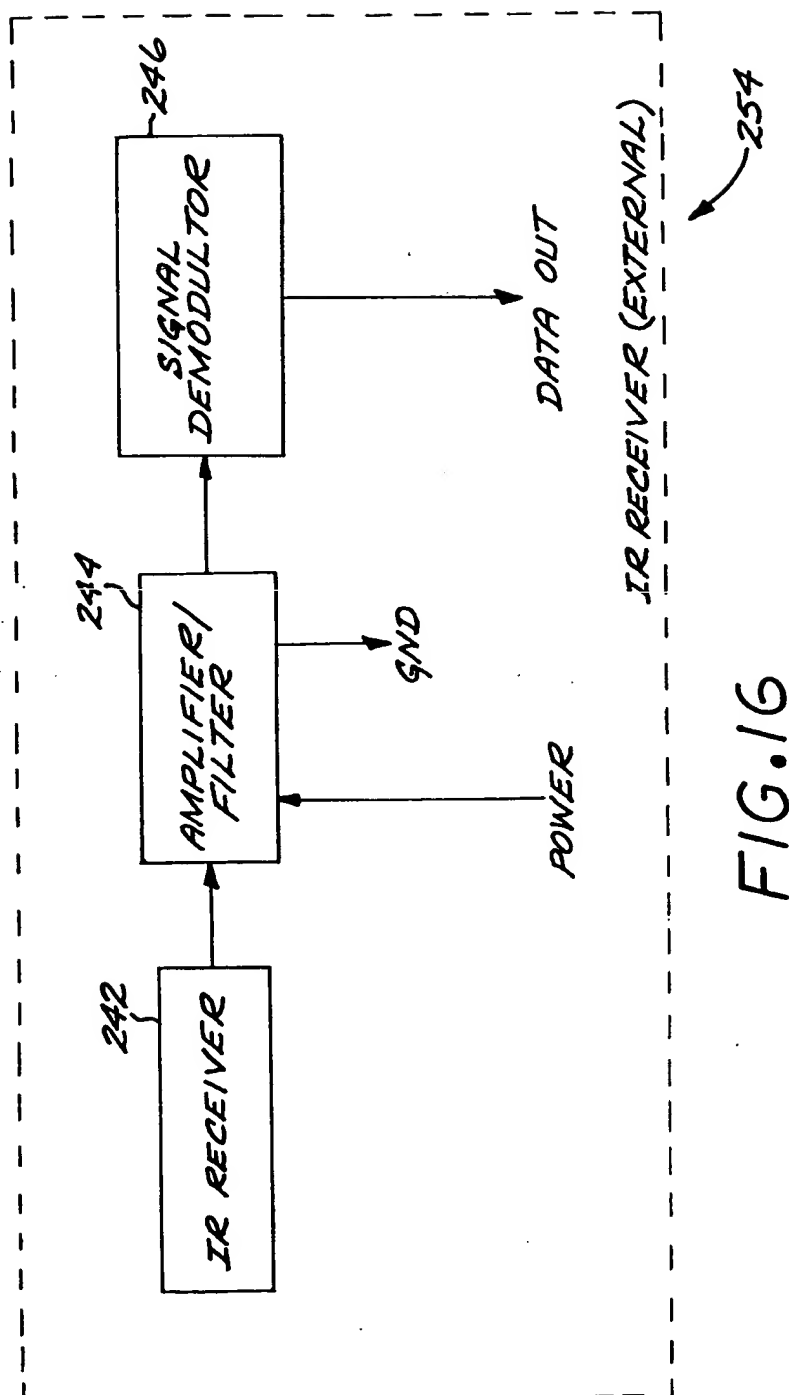


FIG.15



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/27296

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : G08B 1/08

US CL : 340/539

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,652,570 A (LEPKOFKER) 29 July 1997, abstract, lines 1-10	1-40

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

28 JANUARY 1999

Date of mailing of the international search report

13 APR 1999

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

DARYL POPE

Telephone No. 305-4838

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/27296

## B. FIELDS SEARCHED

Minimum documentation searched

Classification System: U.S.

340/539, 573, 574, 407.1, 825.08; 455/100, 88-90, 53.1, 54.1; 379/37, 38